ORIGINAL

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-003
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

| Application Number     | 10/076,915 |  |
|------------------------|------------|--|
| Filing Date            | 02/14/2002 |  |
| First Named Inventor   | Tonkovich  |  |
| Group Art Unit         | nya        |  |
| Examiner Name          | nya        |  |
| Attorney Docket Number | 13007B     |  |

Hay

Date

2002

|   | or rages in This Subn  | Attorney Docket Number  | er 13007B  |  |  |  |
|---|--|---|--|--|--|--|
|   |  | ENCLOSURES (check   | k all that apply)  |  |  |  |
| Extension of Time  Express Abandonr  Information Disclost  Certified Copy of P Document(s)  Response to Missir Incomplete Applica   | ed  eclaration(s)  Request  ment Request  sure Statement  riority  ng Parts/ | Assignment Papers (for an Application)  Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application  Power of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  Remarks | After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): Combined Declaration And Power Of Atty |  |  |  |
|   | SIGNATUI   | RE OF APPLICANT, ATTORNEY, OR A   | AGENT  |  |  |  |
| Firm or Individual name Signature   | Frank Rosenbo  | erg   |  |  |  |  |
| Date  | June 1   | 2002 05/13/2002   |  |  |  |  |
| CERTIFICATE OF MAILING  |  |   |  |  |  |  |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:  13 May 2002 |  |   |  |  |  |  |

Esmeralda Mestizo

Typed or printed name

Signature

### OPY OF PAPERS ORIGINALLY FILED

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

MARK

MARK

MARK

PTO/SB/17 (10-01)

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

MARK

MARK

MARK

PTO/SB/17 (10-01)

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 2356.00

| Complete if Known    |            |  |
|----------------------|------------|--|
| Application Number   | 10/076,915 |  |
| Filing Date          | 02/14/2002 |  |
| First Named Inventor | Tonkovich  |  |
| Examiner Name        | nya        |  |
| Group Art Unit       | nya        |  |
| Attorney Docket No.  | 130007B    |  |

| METHOD OF PAYMENT  | FEE CALCULATION (continued)  |          |  |  |
|--|--|----------|--|--|
| The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: | 3. ADDITIONAL FEES   |          |  |  |
| Deposit  | Large Small  |          |  |  |
| Account<br>Number 50-1749  | Entity Entity Fee                            |          |  |  |
| Deposit Frank D  | Code (\$) Code (\$) Fee Description  | Fee Paid |  |  |
| Account<br>Name Frank Rosenberg  | 105 130 205 65 Surcharge - late filing fee or oath                               | 130.00   |  |  |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17                                  | 127 50 227 25 Surcharge - late provisional filing fee or cover sheet             |          |  |  |
| Applicant claims small entity status. See 37 CFR 1.27  | 139 130 139 130 Non-English specification  |          |  |  |
| 2. Payment Enclosed:   | 147 2,520 147 2,520 For filing a request for ex parte reexamination              |          |  |  |
| Check Credit card Money Order Other  | 112 920* 112 920* Requesting publication of SIR prior to<br>Examiner action      |          |  |  |
| FEE CALCULATION  | 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action        |          |  |  |
| 1. BASIC FILING FEE  | 115 110 215 55 Extension for reply within first month                            |          |  |  |
| Large Entity Small Entity Fee Fee Fee Fee Description  | 116 400 216 200 Extension for reply within second month                          |          |  |  |
| Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid                                       | 117 920 217 460 Extension for reply within third month                           |          |  |  |
| 101 740 201 370 Utility filing fee 740.00  | 118 1,440 218 720 Extension for reply within fourth month                        |          |  |  |
| 106 330 206 165 Design filing fee  | 128 1,960 228 980 Extension for reply within fifth month                         |          |  |  |
| 107 510 207 255 Plant filing fee   | 119 320 219 160 Notice of Appeal   |          |  |  |
| 108 740 208 370 Reissue filing fee   | 120 320 220 160 Filing a brief in support of an appeal                           |          |  |  |
| 114 160 214 80 Provisional filing fee  | 121 280 221 140 Request for oral hearing   |          |  |  |
| SUBTOTAL (1) (\$) 740.00   | 138 1,510 138 1,510 Petition to institute a public use proceeding                |          |  |  |
|  | 140 110 240 55 Petition to revive - unavoidable                                  |          |  |  |
| 2. EXTRA CLAIM FEES  | 141 1,280 241 640 Petition to revive - unintentional                             |          |  |  |
| Extra Claims below Fee Paid  | 142 1,280 242 640 Utility issue fee (or reissue)                                 |          |  |  |
| Independent -20 = 10 A 10.00 = 17 1.00   | 143 460 243 230 Design issue fee   |          |  |  |
| Claims $\begin{bmatrix} 1 & 3 & 3 & 4 & 8 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1$                 | 144 620 244 310 Plant issue fee  |          |  |  |
| Multiple Dependent   | 122 130 122 130 Petitions to the Commissioner                                    |          |  |  |
| Large Entity Small Entity  | 123 50 123 50 Processing fee under 37 CFR 1.17(q)                                |          |  |  |
| Fee Fee Fee Fee Description  | 126 180 126 180 Submission of Information Disclosure Stmt                        |          |  |  |
| Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20  | 504 40 504 44 504  | 40.00    |  |  |
| 102 84 202 42 Independent claims in excess of 3  | 146 740 246 370 Filing a submission after final rejection                        |          |  |  |
| 104 280 204 140 Multiple dependent claim, if not paid  | (37 CFR § 1.129(a))  |          |  |  |
| 109 84 209 42 ** Reissue independent claims<br>over original patent                            | 149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b)) | ]        |  |  |
| 110 18 210 9 ** Reissue claims in excess of 20   | 179 740 279 370 Request for Continued Examination (RCE)                          |          |  |  |
| and over original patent   | 169 900 169 900 Request for expedited examination                                |          |  |  |
| SUBTOTAL (2) (\$)1446.00   | of a design application  Other fee (specify)                                     |          |  |  |
| 7. 470.00  |  |          |  |  |
| *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 170.00                                     |  |          |  |  |

| SUBMITTED BY Complete (if applicable) |                 |  |  |              |
|---------------------------------------|-----------------|--|--|--------------|
| Name (Print/Type)                     | Frank Rosenberg | Registration No. (Attorney/Agent) 37,068 |  | 925-376-8416 |
| Signature                             | Fran hospiley   |  |  | 05/13/2002   |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

Washington, D.C. 2023i www.uspto.gov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

10/076,915 02/14/2002 Tonkovich 13007B

CONFIRMATION NO. 1868

Frank S. Rosenberg

18 Echo Hill Lane

Moraga, CA 94556

COPY OF PAPERS ORIGINALLY FILED FORMALITIES LETTER

\*OC000000076220022

Date Mailed: 03/13/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1446.
  - \$774 for 43 total claims over 20.
  - \$672 for 8 independent claims over 3.
- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2316.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

06/03/2002 IJUHAR1 00000011 10075915

01 F0:101 02 F0:102 03 F0:103 04 F0:105 730,00 00 578,00 774,00 00 A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY